SEC Form 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Add <u>Carlson Joh</u>	<u>in R.</u>		2. Issuer Name and Ticker or Trading Symbol <u>CommScope Holding Company, Inc.</u> [COMM]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner X Officer (give title Other (specify below) below)							
	(First) COPE HOLDI SCOPE PLACE	(Middle) NG COMPANY, INC. E, SE	3. Date of Earliest Transaction (Month/Day/Year) 12/01/2021	SVP & Chief Commercial Officer							
(Street) HICKORY	NC	28602	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting							
(City)	(State)	(Zip)		Person							
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	12/01/2021		M ⁽¹⁾		16,000	Α	\$0.00	96,000 ⁽²⁾	D	
Common Stock	12/01/2021		F		12,843	D	\$10.03	83,157 ⁽²⁾	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deri Sec Acq (A) Disp of (I	umber wative urities uired or oosed O) (Instr. and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Performance Share Units	\$0.00	12/01/2021		M ⁽¹⁾			16,000	(3)	12/01/2024	Common Stock	16,000	\$0.00	144,000	D	

Explanation of Responses:

1. On December 1, 2020, the reporting person was granted 160,000 performance share units, which vest upon the achievement of certain hurdles relating to the issuer's stock price ranging from a low of \$15 to a high of \$40, and the reporting person's continued employment with the issuer, over the four-year period from 12/01/2020 to 12/01/2024. On March 31, 2021, the \$15.00 stock price hurdle was achieved and, on December 1, 2021, the continued employment requirement was met, resulting in vesting of 16,000 performance share units.

2. As previously reported, includes 53,334 restricted stock units that were granted on 12/01/2020 and will vest in equal installments on 12/01/2022 and 12/01/2023, subject to the reporting person's continued employment with the issuer.

3. Each performance share unit reflects the right to receive one share of common stock. The performance share units vest upon the achievement of certain hurdles relating to the issuer's stock price ranging from a low of \$15 to a high of \$40, and the reporting person's continued employment with the issuer, over the four-year period from 12/01/2020 to 12/01/2024.

Remarks:

/s/Michael D. Coppin, under a <u>12/03/2021</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.